



ARTIFICIAL INTELLIGENCE



Institute for Advanced Studies  
in Basic Sciences  
Gava Zang, Zanjan, Iran

# *Constraint Satisfaction Problems*

*Ali Reza Khanteymooori - Fall 1389*

*(Russell Lecture Notes)*

## Outline

- ◇ CSP examples
- ◇ Backtracking search for CSPs
- ◇ Problem structure and problem decomposition
- ◇ Local search for CSPs

# Constraint satisfaction problems (CSPs)

Standard search problem:

state is a “black box”—any old data structure  
that supports goal test, eval, successor

CSP:

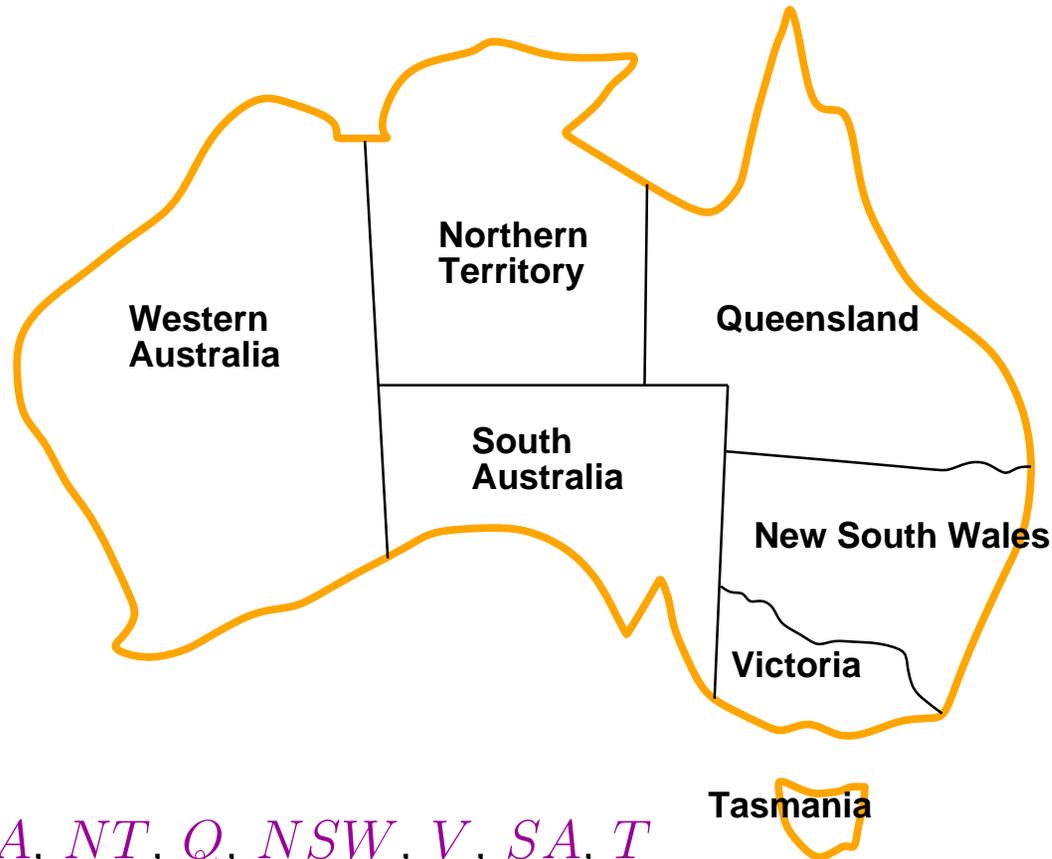
state is defined by variables  $X_i$  with values from domain  $D_i$

goal test is a set of constraints specifying  
allowable combinations of values for subsets of variables

Simple example of a **formal representation language**

Allows useful **general-purpose** algorithms with more power  
than standard search algorithms

## Example: Map-Coloring



Variables  $WA, NT, Q, NSW, V, SA, T$

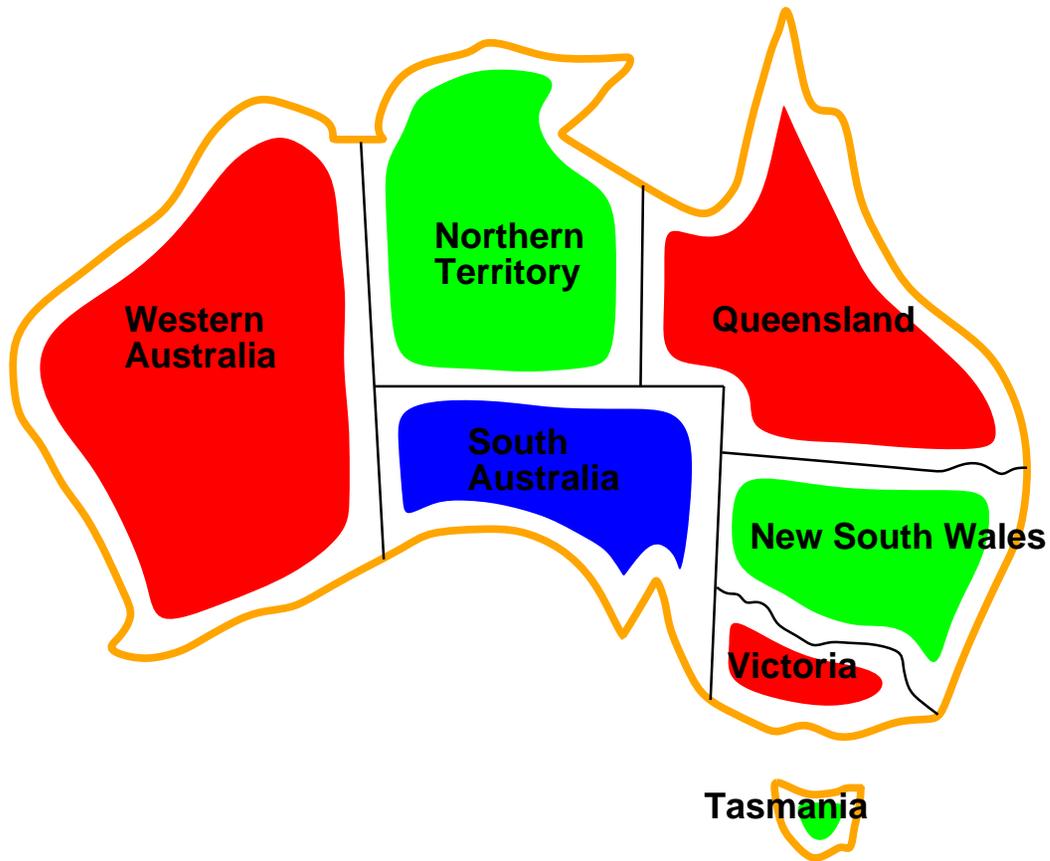
Domains  $D_i = \{red, green, blue\}$

Constraints: adjacent regions must have different colors

e.g.,  $WA \neq NT$  (if the language allows this), or

$(WA, NT) \in \{(red, green), (red, blue), (green, red), (green, blue), \dots\}$

## Example: Map-Coloring contd.



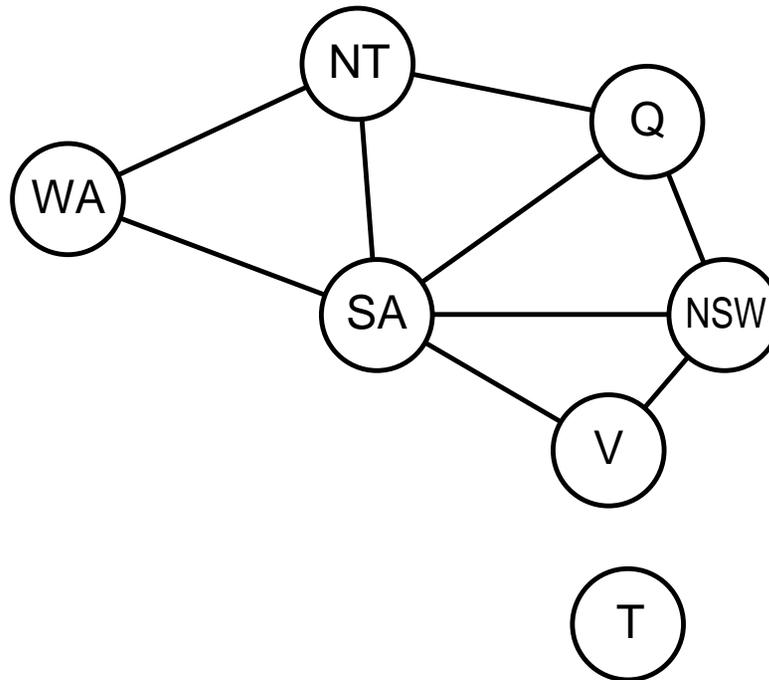
Solutions are assignments satisfying all constraints, e.g.,

$\{WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green\}$

## Constraint graph

Binary CSP: each constraint relates at most two variables

Constraint graph: nodes are variables, arcs show constraints



General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!

# Varieties of CSPs

## Discrete variables

finite domains; size  $d \Rightarrow O(d^n)$  complete assignments

- ◇ e.g., Boolean CSPs, incl. Boolean satisfiability (NP-complete)

infinite domains (integers, strings, etc.)

- ◇ e.g., job scheduling, variables are start/end days for each job
- ◇ need a **constraint language**, e.g.,  $StartJob_1 + 5 \leq StartJob_3$
- ◇ **linear** constraints solvable, **nonlinear** undecidable

## Continuous variables

- ◇ e.g., start/end times for Hubble Telescope observations
- ◇ linear constraints solvable in poly time by LP methods

## Varieties of constraints

Unary constraints involve a single variable,

e.g.,  $SA \neq \textit{green}$

Binary constraints involve pairs of variables,

e.g.,  $SA \neq WA$

Higher-order constraints involve 3 or more variables,

e.g., cryptarithmic column constraints

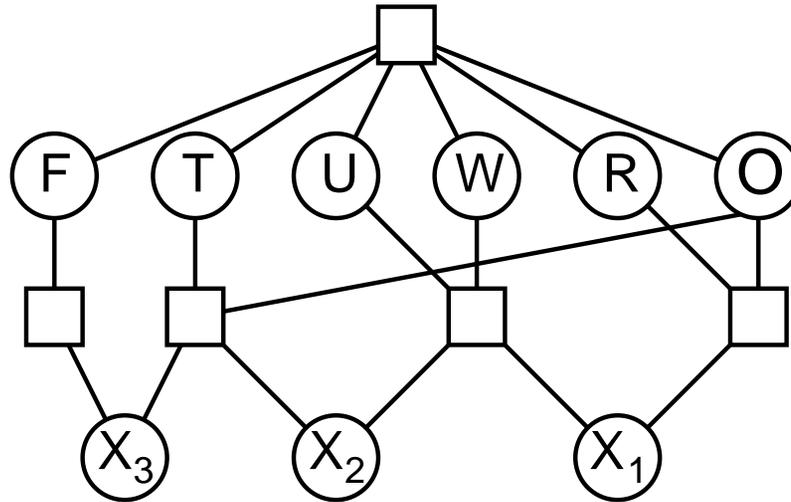
Preferences (soft constraints), e.g.,  $\textit{red}$  is better than  $\textit{green}$

often representable by a cost for each variable assignment

→ constrained optimization problems

## Example: Cryptarithmic

$$\begin{array}{r}
 \text{TWO} \\
 + \text{TWO} \\
 \hline
 \text{FOUR}
 \end{array}$$



Variables:  $F T U W R O X_1 X_2 X_3$

Domains:  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

Constraints

$alldiff(F, T, U, W, R, O)$

$O + O = R + 10 \cdot X_1$ , etc.

## Real-world CSPs

Assignment problems

e.g., who teaches what class

Timetabling problems

e.g., which class is offered when and where?

Hardware configuration

Spreadsheets

Transportation scheduling

Factory scheduling

Floorplanning

Notice that many real-world problems involve real-valued variables

## Standard search formulation (incremental)

Let's start with the straightforward, dumb approach, then fix it

States are defined by the values assigned so far

- ◇ **Initial state:** the empty assignment,  $\{ \}$
  - ◇ **Successor function:** assign a value to an unassigned variable that does not conflict with current assignment.  
⇒ fail if no legal assignments (not fixable!)
  - ◇ **Goal test:** the current assignment is complete
- 1) This is the same for all CSPs! 😊
  - 2) Every solution appears at depth  $n$  with  $n$  variables  
⇒ use depth-first search
  - 3) Path is irrelevant, so can also use complete-state formulation
  - 4)  $b = (n - \ell)d$  at depth  $\ell$ , hence  $n!d^n$  leaves!!!! 😞

## Backtracking search

Variable assignments are **commutative**, i.e.,

$[WA = red \text{ then } NT = green]$  same as  $[NT = green \text{ then } WA = red]$

Only need to consider assignments to a single variable at each node

$\Rightarrow b = d$  and there are  $d^n$  leaves

Depth-first search for CSPs with single-variable assignments is called **backtracking** search

Backtracking search is the basic uninformed algorithm for CSPs

Can solve  $n$ -queens for  $n \approx 25$

## Backtracking search

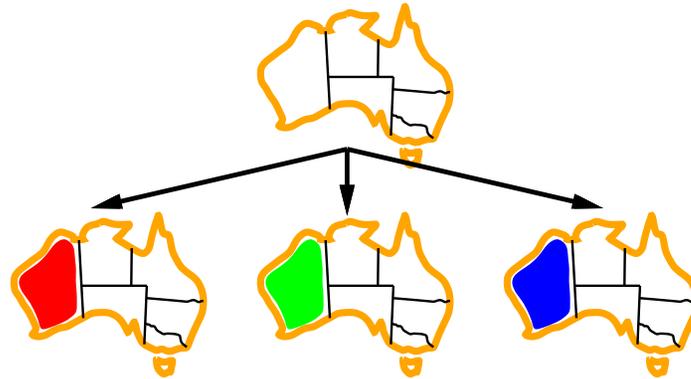
```
function BACKTRACKING-SEARCH(csp) returns solution/failure
  return RECURSIVE-BACKTRACKING({ }, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) returns soln/failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment given CONSTRAINTS[csp] then
      add {var = value} to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove {var = value} from assignment
  return failure
```

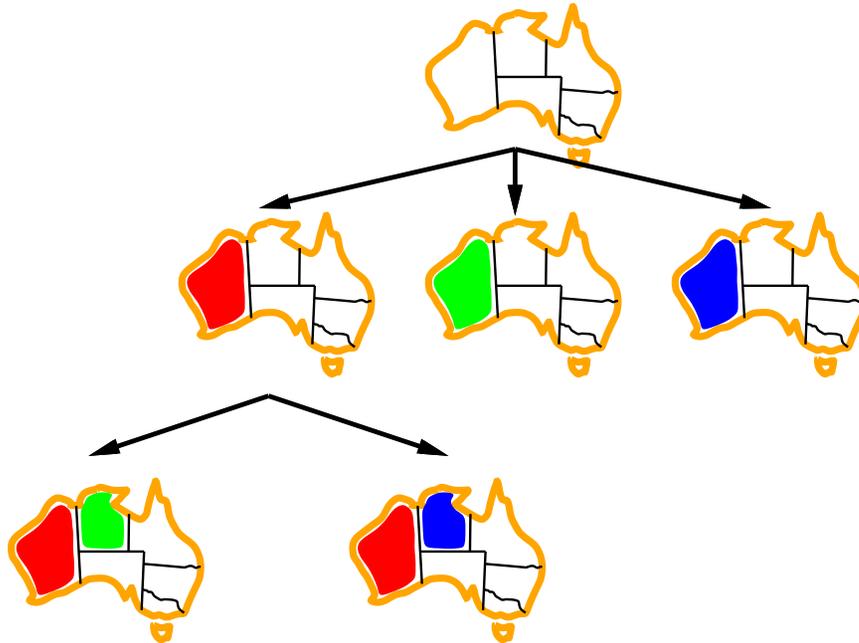
# Backtracking example



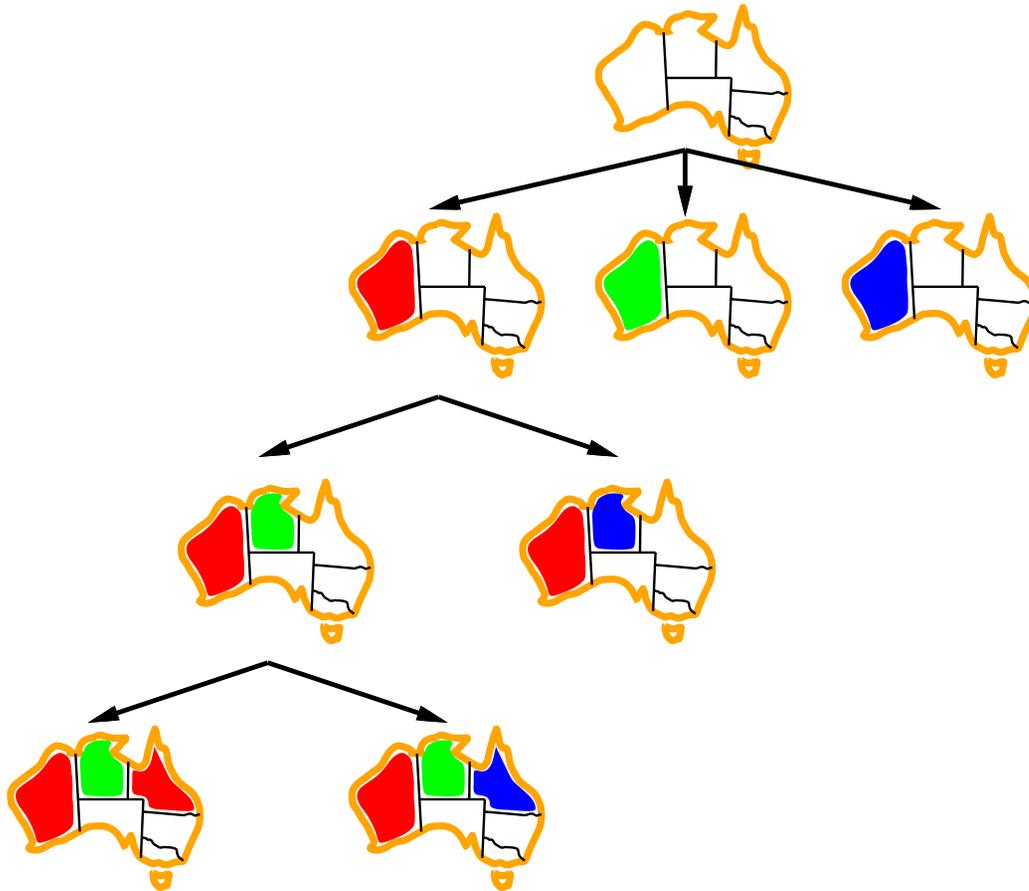
# Backtracking example



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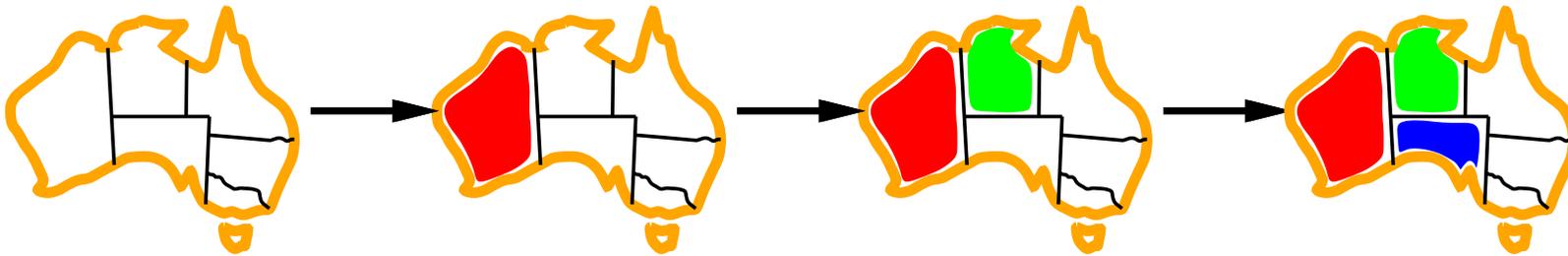
## Improving backtracking efficiency

**General-purpose** methods can give huge gains in speed:

1. Which variable should be assigned next?
2. In what order should its values be tried?
3. Can we detect inevitable failure early?
4. Can we take advantage of problem structure?

## Minimum remaining values

Minimum remaining values (MRV):  
choose the variable with the fewest legal values

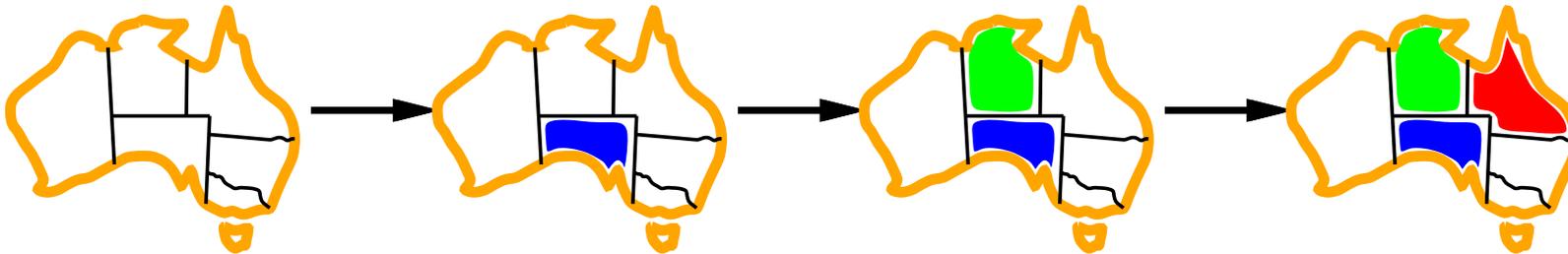


# Degree heuristic

Tie-breaker among MRV variables

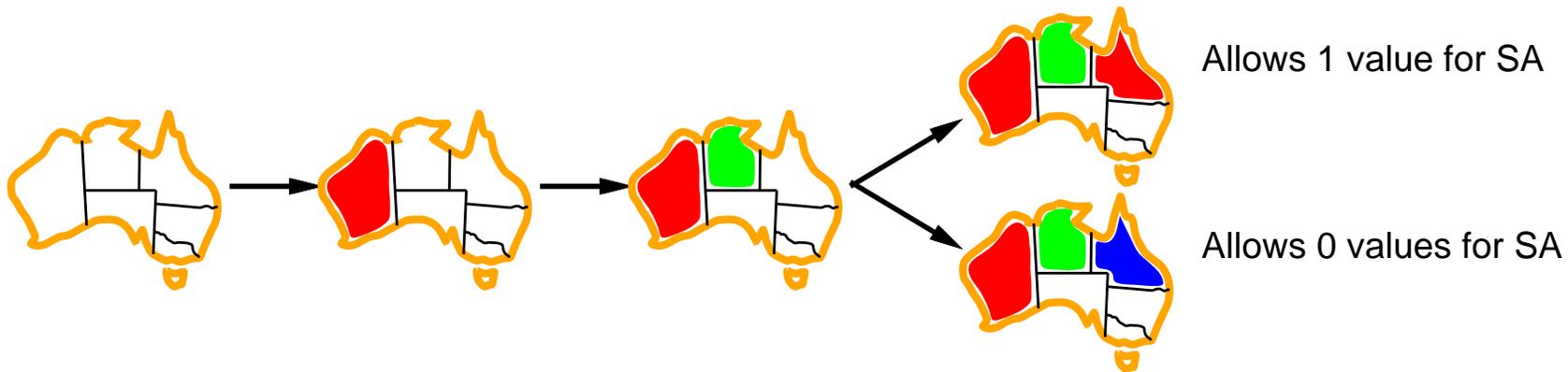
Degree heuristic:

choose the variable with the most constraints on remaining variables



## Least constraining value

Given a variable, choose the least constraining value:  
the one that rules out the fewest values in the remaining variables



Combining these heuristics makes 1000 queens feasible

# Forward checking

Idea: Keep track of remaining legal values for unassigned variables  
Terminate search when any variable has no legal values



WA

NT

Q

NSW

V

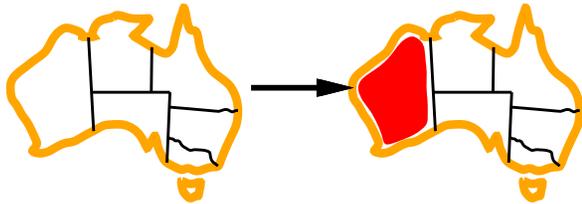
SA

T



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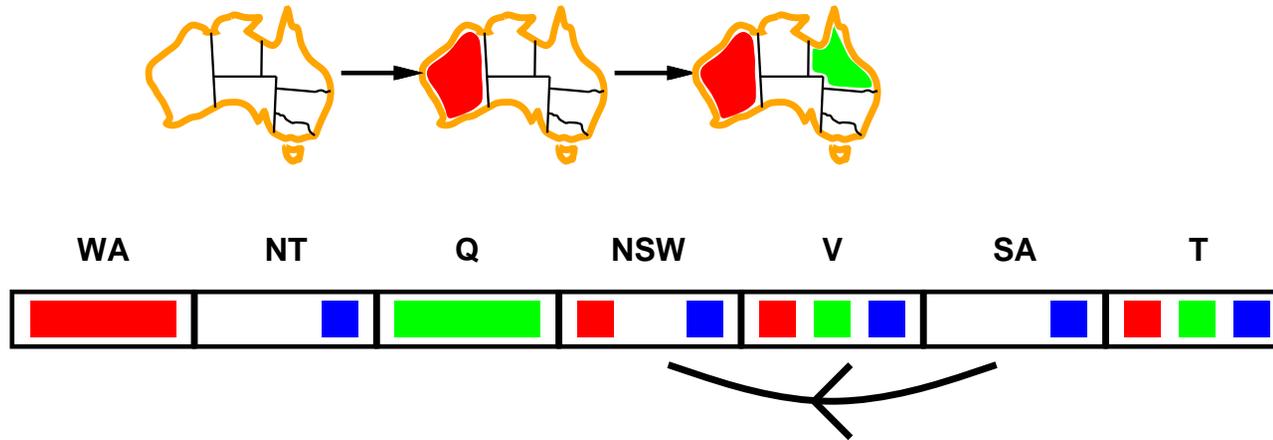


# Arc consistency

Simplest form of propagation makes each arc **consistent**

$X \rightarrow Y$  is consistent iff

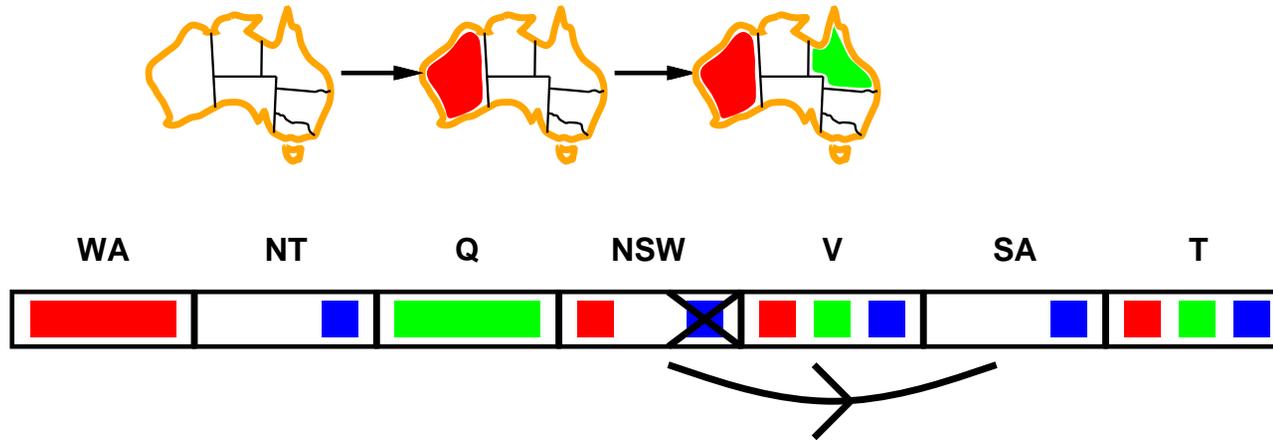
for **every** value  $x$  of  $X$  there is **some** allowed  $y$



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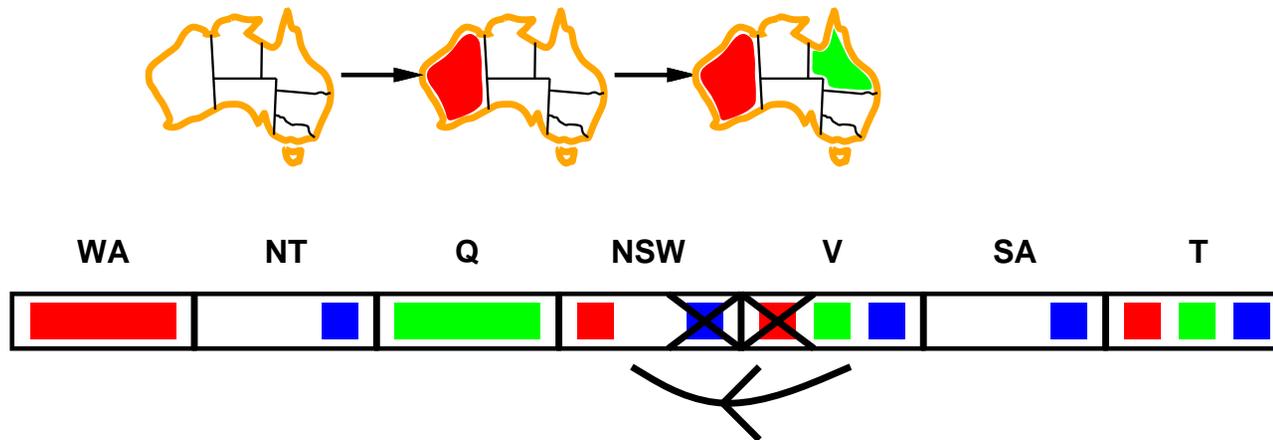
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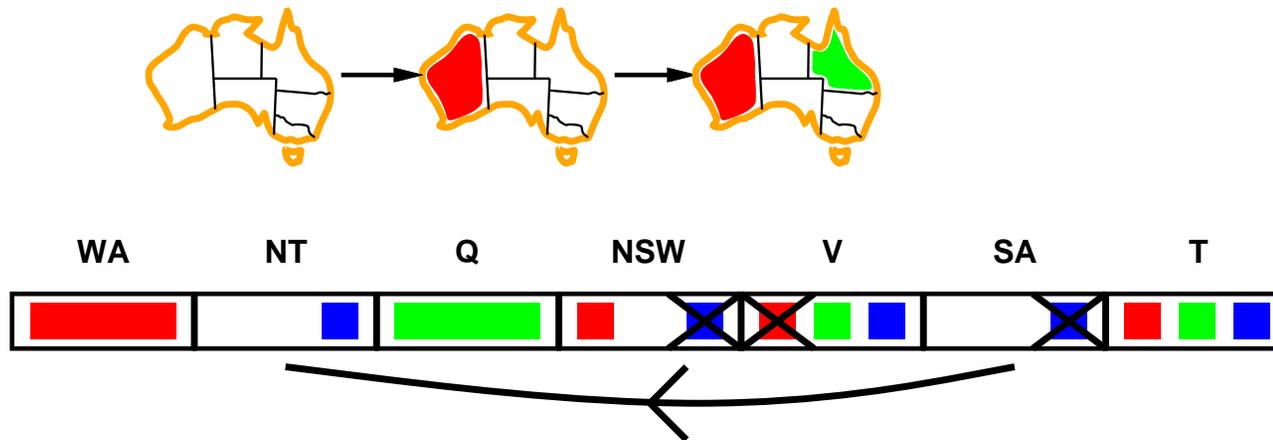


If  $X$  loses a value, neighbors of  $X$  need to be rechecked

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Arc consistency detects failure earlier than forward checking

Can be run as a preprocessor or after each assignment

## Arc consistency algorithm

**function** AC-3(*csp*) **returns** the CSP, possibly with reduced domains

**inputs:** *csp*, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$

**local variables:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$

**if** REMOVE-INCONSISTENT-VALUES( $X_i, X_j$ ) **then**

**for each**  $X_k$  **in** NEIGHBORS[ $X_i$ ] **do**

            add  $(X_k, X_i)$  to *queue*

---

**function** REMOVE-INCONSISTENT-VALUES( $X_i, X_j$ ) **returns** true iff succeeds

*removed*  $\leftarrow$  false

**for each**  $x$  **in** DOMAIN[ $X_i$ ] **do**

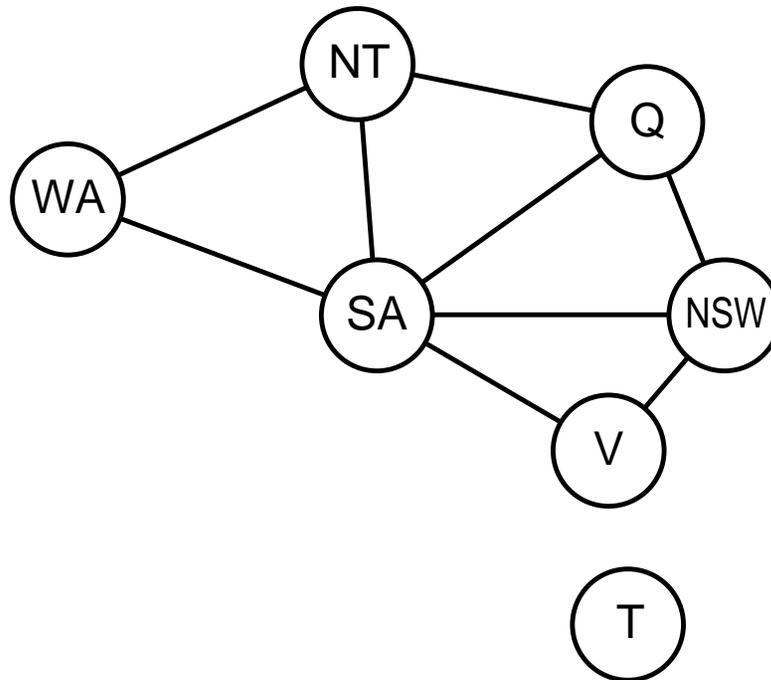
**if** no value  $y$  in DOMAIN[ $X_j$ ] allows  $(x, y)$  to satisfy the constraint  $X_i \leftrightarrow X_j$

**then** delete  $x$  from DOMAIN[ $X_i$ ]; *removed*  $\leftarrow$  true

**return** *removed*

$O(n^2d^3)$ , can be reduced to  $O(n^2d^2)$  (but detecting **all** is NP-hard)

## Problem structure



Tasmania and mainland are **independent subproblems**

Identifiable as **connected components** of constraint graph

## Problem structure contd.

Suppose each subproblem has  $c$  variables out of  $n$  total

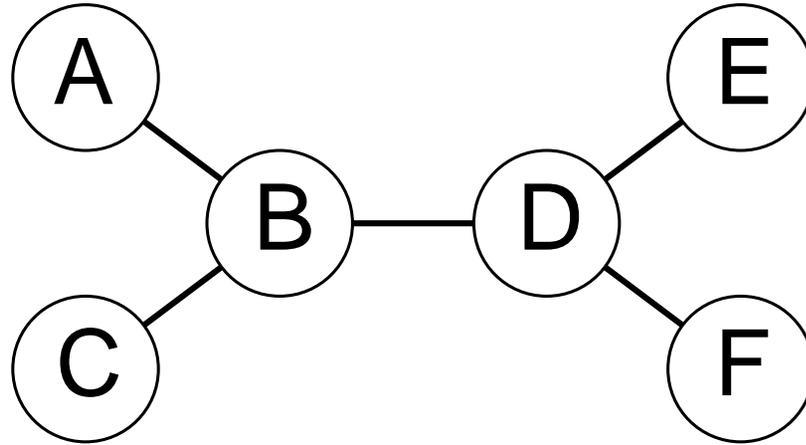
Worst-case solution cost is  $n/c \cdot d^c$ , **linear** in  $n$

E.g.,  $n = 80$ ,  $d = 2$ ,  $c = 20$

$2^{80} = 4$  billion years at 10 million nodes/sec

$4 \cdot 2^{20} = 0.4$  seconds at 10 million nodes/sec

## Tree-structured CSPs



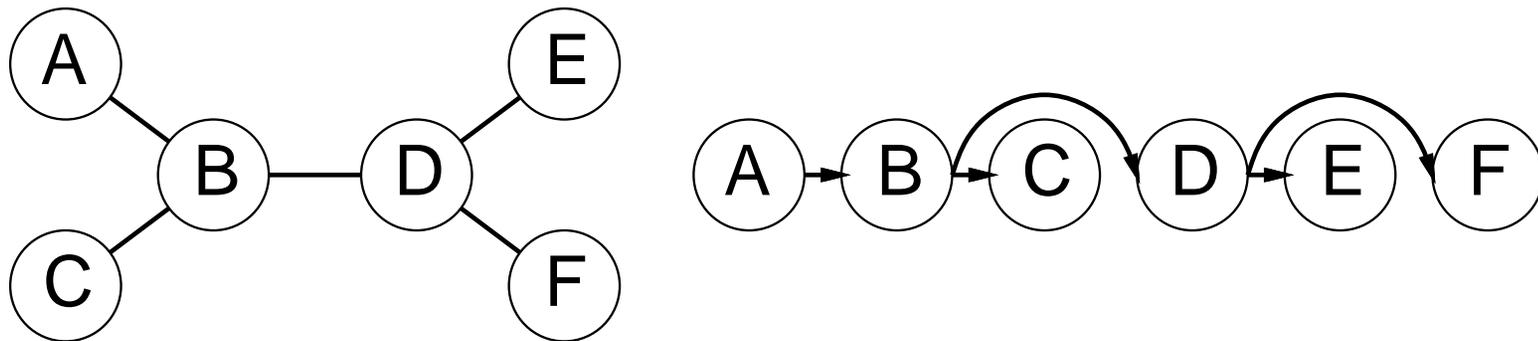
**Theorem:** if the constraint graph has no loops, the CSP can be solved in  $O(nd^2)$  time

Compare to general CSPs, where worst-case time is  $O(d^n)$

This property also applies to logical and probabilistic reasoning:  
an important example of the relation between syntactic restrictions  
and the complexity of reasoning.

## Algorithm for tree-structured CSPs

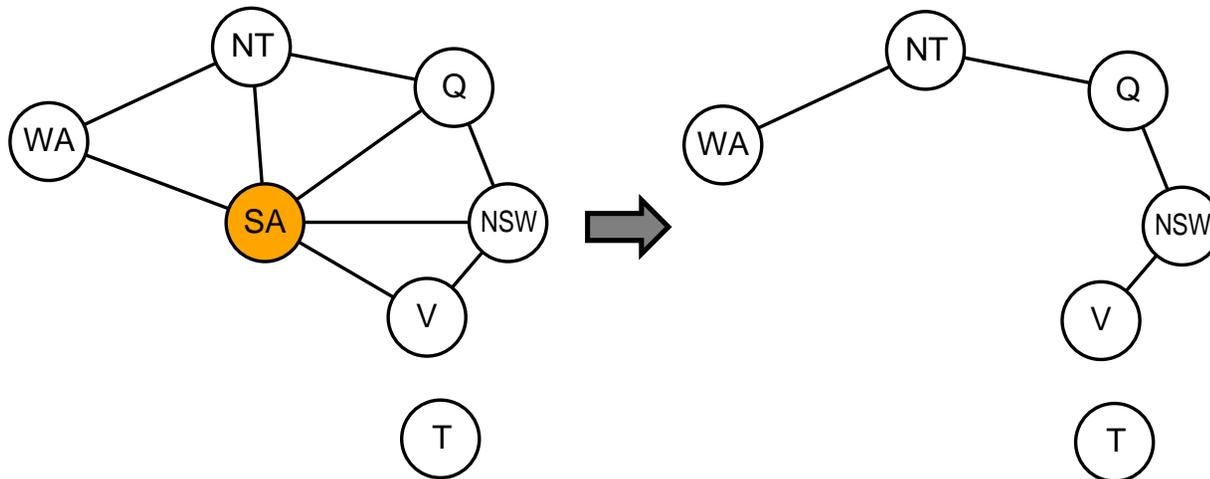
1. Choose a variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering



2. For  $j$  from  $n$  down to  $2$ , apply  $\text{REMOVEINCONSISTENT}(\text{Parent}(X_j), X_j)$
3. For  $j$  from  $1$  to  $n$ , assign  $X_j$  consistently with  $\text{Parent}(X_j)$

## Nearly tree-structured CSPs

**Conditioning:** instantiate a variable, prune its neighbors' domains



**Cutset conditioning:** instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree

Cutset size  $c \Rightarrow$  runtime  $O(d^c \cdot (n - c)d^2)$ , very fast for small  $c$

## Iterative algorithms for CSPs

Hill-climbing, simulated annealing typically work with “complete” states, i.e., all variables assigned

To apply to CSPs:

- allow states with unsatisfied constraints
- operators **reassign** variable values

Variable selection: randomly select any conflicted variable

Value selection by **min-conflicts** heuristic:

- choose value that violates the fewest constraints

- i.e., hillclimb with  $h(n)$  = total number of violated constraints

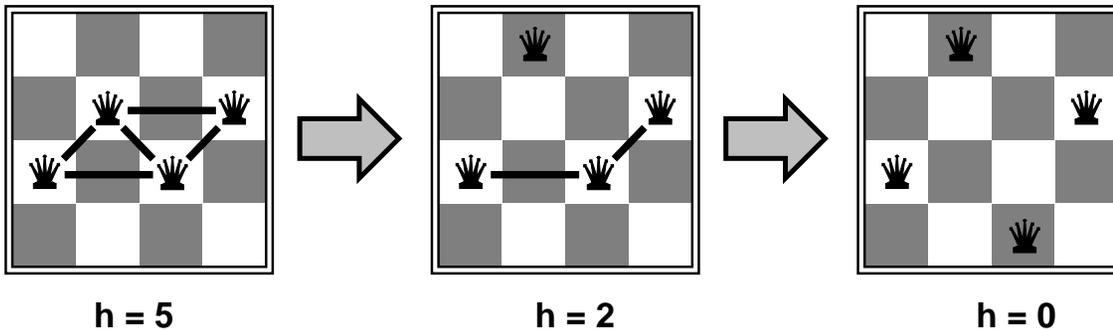
## Example: 4-Queens

States: 4 queens in 4 columns ( $4^4 = 256$  states)

Operators: move queen in column

Goal test: no attacks

Evaluation:  $h(n) =$  number of attacks



## Summary

CSPs are a special kind of problem:

- states defined by values of a fixed set of variables

- goal test defined by **constraints** on variable values

Backtracking = depth-first search with one variable assigned per node

Variable ordering and value selection heuristics help significantly

Forward checking prevents assignments that guarantee later failure

Constraint propagation (e.g., arc consistency) does additional work to constrain values and detect inconsistencies

The CSP representation allows analysis of problem structure

Tree-structured CSPs can be solved in linear time

Iterative min-conflicts is usually effective in practice